


PCG #4

FORM PTO-1390 (Modified) (REV 5-93)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				017227/0171
		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)		
		09/786,792		
INTERNATIONAL APPLICATION NO. PCT/AU99/00762	INTERNATIONAL FILING DATE September 13, 1999	PRIORITY DATE CLAIMED September 14, 1998		
TITLE OF INVENTION INHIBITION OF TOXIC MATERIALS OR SUBSTANCES USING DENDRIMERS				
APPLICANT(S) FOR DO/EO/US Barry Ross MATTHEWS, George HOLAN and Karen Wendy MARDELL				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
1.	<input type="checkbox"/>	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.		
2.	<input checked="" type="checkbox"/>	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.		
3.	<input type="checkbox"/>	This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).		
4.	<input type="checkbox"/>	A proper Demand for International Preliminary Examination was made by the 19 th month from the earliest claimed priority date.		
5.	<input type="checkbox"/>	A copy of the International Application as filed (35 U.S.C. 371(c)(2))		
	<input type="checkbox"/>	is transmitted herewith (required only if not transmitted by the International Bureau).		
	<input type="checkbox"/>	has been transmitted by the International Bureau.		
	<input type="checkbox"/>	is not required, as the application was filed in the United States Receiving Office (RO/US)		
6.	<input type="checkbox"/>	A translation of the International Application into English (35 U.S.C. 371(c)(2)).		
7.	<input type="checkbox"/>	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))		
	<input type="checkbox"/>	are transmitted herewith (required only if not transmitted by the International Bureau).		
	<input type="checkbox"/>	have been transmitted by the International Bureau.		
	<input type="checkbox"/>	have not been made; however, the time limit for making such amendments has NOT expired.		
	<input type="checkbox"/>	have not been made and will not be made.		
8.	<input type="checkbox"/>	A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).		
9.	<input checked="" type="checkbox"/>	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).		
10.	<input type="checkbox"/>	A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
11.	<input checked="" type="checkbox"/>	Applicant claims small entity status under 37 CFR 1.27.		
Items 12. to 17. below concern other document(s) or information included:				
12.	<input type="checkbox"/>	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.		
13.	<input checked="" type="checkbox"/>	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.		
14.	<input type="checkbox"/>	A FIRST preliminary amendment.		
	<input type="checkbox"/>	A SECOND or SUBSEQUENT preliminary amendment.		
15.	<input type="checkbox"/>	A substitute specification.		
16.	<input type="checkbox"/>	A change of power of attorney and/or address letter.		
17.	<input checked="" type="checkbox"/>	Other items or information: Copy of Notification of Missing Requirements and Request for Refund		

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.50) Unassigned				INTERNATIONAL APPLICATION NO. PCT/AU99/00762				ATTORNEY'S DOCKET NUMBER 017227/0171			
18. <input checked="" type="checkbox"/> The following fees are submitted:								CALCULATIONS		PTO USE ONLY	
Basic National Fee (37 CFR 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO.....\$860.00											
International preliminary examination fee paid to USPTO (37 CFR 1.482).....\$690.00											
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))\$710.00											
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1,000.00											
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)\$100.00											
ENTER APPROPRIATE BASIC FEE AMOUNT =								\$0.00			
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 Months from the earliest claimed priority date (37 CFR 1.492(e))								\$65.00			
Claims	Number Filed		Included in Basic Fee		Extra Claims		Rate				
Total Claims	13	-	20	=	0	x	\$18.00	\$0.00			
Independent Claims	1	-	3	=	0	x	\$80.00	\$0.00			
Multiple dependent claim(s) (if applicable)								\$270.00			
TOTAL OF ABOVE CALCULATIONS =								\$0.00			
Reduction by 1/2 for filing by small entity, if applicable.								\$0.00			
SUBTOTAL =								\$65.00			
Processing fee of \$130.00 for furnishing English translation later the 20 months from the earliest claimed priority date (37 CFR 1.492(f)).								+			
TOTAL NATIONAL FEE =								\$0.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +								\$40.00			
TOTAL FEES ENCLOSED =								\$105.00			
05/15/2001 MNGUYEN 0000057 09786792								Amount to be:			
01 FC 254 65.00 00								refunded		\$500.00	
								charged		\$105.00	
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$105.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. <u>19-0741</u> in the amount of \$00.00 to the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0741</u>. A duplicate copy of this sheet is enclosed.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>											
<p>SEND ALL CORRESPONDENCE TO:</p> <p>Foley & Lardner Washington Harbour 3000 K Street, N.W., Suite 500 Washington, D.C. 20007-5109</p>											
								SIGNATURE			
								NAME		STEPHEN A. BENT	
								REGISTRATION NUMBER		29,768	

The PTO did not receive the following
listed item(s) Assignment
and \$40

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of
Barry Ross MATTHEWS *et al.*

Serial No.: 09/786,972

Group Art Unit:

unassigned

Filed: March 13, 2001

For: INHIBITION OF TOXIC MATERIALS OR SUBSTANCES USING DENDRIMERS

SUBMISSION OF SMALL ENTITY DECLARATION AND
REQUEST FOR REFUND

Commissioner of Patents
Washington, D.C. 20231
ATTENTION: DEPOSIT ACCOUNT BRANCH


Sir:

Submitted herewith is a verified statement claiming small entity status in connection with the subject application.

The first fee in this application was paid on March 13, 2001, in the amount of \$1,000.00 established for a non-small entity. Therefore, Applicants are entitled to a 50% refund of said fee, consistent with the Notice of the Acting Commissioner of Patents and Trademarks of February 15, 1983 (1027 OG 115).

Therefore, the Patent and Trademark Office is requested to make a refund in the form of a check in the amount of \$500.00 forwarded to the undersigned at the address indicated below. This paper is being submitted in duplicate for the convenience of the Finance Branch.

Respectfully submitted,


Name: Stephen A. Bent
Registration No. 27,768

Date:

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